

Office of the Chief Project Director
Uttarakhand Forest Resource Management Project (JICA Funded).
24, IT Park, Sahasradhara Road, Dehradun

NOTICE INVITING EXPRESSION OF INTEREST

No. 6/18-19 Dated 29 September, 2018

SUBJECT: SHORTLISTING OF FIRMS FOR RECONSTRUCTION OF LANDSLIDED HILL SLOPES UNDER UTTARAKHAND FOREST RESOURCE MANAGEMENT PROJECT IN THE STATE OF UTTARAKHAND

Government of Uttarakhand has received a loan of 11390 million Japanese Yen, from Japan International Cooperation Agency (JICA), for implementing Uttarakhand Forest Resource Management Project, (UFRMP) [herein after referred to as Project].

Project Management Unit (PMU), UFRMP, intends to apply part of the proceeds of this loan, to cover eligible payments under the loan agreement, to Bidding Firms, for construction works at selected sites under Disaster Mitigation Component of the Project. PMU of the Project now solicits proposals from eligible Firms to indicate their interest in providing the desired services.

Construction Firms/Companies/Joint Ventures/Consortiums of Firms (herein after referred to as Firm), possessing the requisite eligibility (pre-qualification criteria), experience and expertise in Sediment Disaster Mitigation and Riverbank Conservation works etc. in hills, as per details provided in this Eol, with requisite financial and technical strength, may submit their Eol in the Prescribed Formats (Part I to IV), with relevant information/documents. Please be precise and do not provide any unnecessary or irrelevant information/documents, which may go against the firm's credibility and competence. The application should be sent by registered post/courier service, along with Demand Draft of Rs. 1,00,000 (INR one lakh) as processing fee (non-refundable), payable to Chief Project Director, Uttarakhand Forest Resource Management Project (UFRMP), payable at Dehradun, in a sealed cover, in five (5) copies (one original and four true copies) along with a covering letter, duly signed by an authorized signatory, on or before November 2, 2018 by 16:00 hrs., at the address given below.

Eols, received in formats other than the Prescribed Formats will not be entertained, and summarily rejected.

The scope of the Services, and other relevant details including Prescribed Formats, which are required for submission of the Eol proposals, are available on the website: www.jicauttarakhand.org

Important Dates

Purpose	Date
Pre-proposal conference for seeking clarifications by Firms for preparing Eol Proposals	12 noon onwards, October 16, 2018
Last date for submission of Eol Proposals	November 2, 2018

**Chief Project Director
Uttarakhand Forest Resource Management Project (JICA Project)
24, IT Park, Sahasradhara Road
Dehradun, 248001**

A: BACKGROUND

Japan International Cooperation Agency (JICA) signed Record of Discussions with Government of India and Government of Uttarakhand for implementing a Technical Cooperation (TC) project titled "The Project for Natural Disaster Management in Forest Areas in Uttarakhand".

Main objective of the Project is to enhance the capacity of the State in general and Uttarakhand Forest Department (UKFD) in particular, to appropriately plan and implement erosion control works for slope disaster management in forest areas of the State, as a part of future preparedness strategy, to mitigate the effects of Natural Disaster timely and most effectively. To achieve the desired objectives, this TC Project is being implemented in coordination with "Uttarakhand Forest Resource Management Project" (UFRMP), a loan project under the Official Development Assistance (ODA) Loan, which is being implemented in the State, and to also facilitate the implementation of Slope Disaster Mitigation component under UFRMP. As a part of implementation of Slope Disaster Mitigation Component under UFRMP, reconstruction of damaged hill slopes would be taken up as a joint collaboration between Technical Cooperation Project and Uttarakhand Forest Resources Management Project. In the TC project, Japanese experts (as input from Japanese side) are already in place for assisting UFRMP/UKFD in field survey, plan, design and supervision for construction of erosion control works.

3 (three) Model Sites are being planned to be taken up for erosion control works under the Loan Project (UFRMP). Survey, design and estimates of these sites are being prepared under the supervision of Japanese Experts, who are associated from Japanese side under Technical Cooperation Project for Management of Natural Disasters in Forest Areas of Uttarakhand.

Apart from construction of 3 (three) model sites, 5 (five) to 6 (six) more sites (called Candidate Sites) will also be taken up for construction.

Uttarakhand Forest Resource Management Project, Dehradun invites EoIs, from technically and financially capable Firms up to prescribed date and time mentioned in terms and conditions below, which shall be opened on the stipulated date and time in the office of the Chief Project Director, Uttarakhand Forest Resource Management Project, 24, IT Park, Sahasradhara Road, Dehradun by the designated Proposal Evaluation Committee in the presence of intending firms or their authorized representatives.

A Pre-proposal conference will be held for the benefit of Firms interested in submitting EoI proposals in response to this notice.

UFRMP shall shortlist the eligible firms based on the evaluation of EoI proposal documents. Request for Proposal (RFP) along with the Terms of Reference (ToR), will be sent to the shortlisted firms for submission of Technical and Financial bids, for execution of works under sediment disaster component of UFRMP. The Chief Project Director reserves the right to reject any or all proposals without assigning reasons thereof.

The expected expenditure under disaster mitigation component would be around INR 100 crore (1000 million), which will be spent in construction of 3 model sites and 5 to 6 candidate sites (approximately). Request for Proposals (RFPs) including Technical and Financial Bids will be sent to Agencies/Firms/Consortiums/Joint Ventures empaneled through this EoI process. The final selection of the Firm(s), who qualify for award of tender for work execution, will be on the basis of evaluation of Technical and Financial Bids submitted in response to RFPs sent to the shortlisted Firms. RFPs will be evaluated on the basis of Quality and Cost Based Selection (QCBS).

B: SCOPE OF WORK: NATURE AND TYPE OF WORKS

Nature of works will involve reconstruction of damaged hill slopes, which include construction of check dams, water channeling works, river bank protection works, gabion wall construction works, rock-nailing, rock-bolting and other similar hill-slope stabilization related works.

Therefore, the scope of work would inter alia include:

S.No.	Purpose of Work
Slope Stabilization	
1	Slope excavation
2	Shot Crete Work
3	Rock Bolting
4	Ground Anchor
5	Concrete Crib Work
6	Soil Reinforcement Work
7	Benching Work
8	Retaining Wall (Concrete, Gabion)
9	Reinforced Mechanical Walls
Slope Protection	
1	Rock Fall Prevention Wall
2	Rock Protection Net
3	Rock Protection Fence
4	Wicker Fence
5	Vegetation Work (Hydro-seeding, Geo-mat, Planting, etc.)
Control of Surface Water	
1	Channel work
2	Culvert
3	Cascade Drainage Work
4	Conduit (French Drain)
5	Sub-surfaced Drain
Erosion Control	
1	Concrete Check Dam
2	Gabion Masonry Check Dam
3	Steel Frame Check Dam
4	Slit Dam
5	Crib Work
6	Concrete Block Revetment Work

C: ELIGIBILITY CRITERIA FOR EMPANELMENT

Technical

- ❖ Firm must have minimum 10-year work experience in reconstruction of damaged hill slopes, construction of roads in hill areas, which encompasses works, as described in scope of work.
- ❖ The Firm, however, must be registered/empaneled with the Central Government/Any State Government/ Central or State Govt. Undertakings, as a Prime Contractor. Proof of certificate to this effect is required to be given by the Firm.
- ❖ Firm must have necessary equipment and machinery, as indicated in Form VIII. The Firm will have to furnish the attested copies of the Cash Memo, RC etc. as per format, of the key and critical equipment required for the work as a proof of ownership of such equipment and machinery. Any cash memo or bill from a non-registered or any unit not licensed for the manufacture of heavy equipment of this nature shall not be considered. In the cash memo so furnished, the details of excise duty paid, GST, C.S.T. paid and proof of transportation by which the equipment transported to the said destination including the proof of payment of requisite taxes shall also be furnished.
- ❖ Firm must have the availability of technical staff required for executing works, as detailed above in the scope of work, with relevant experience required in implementation/ construction of similar works and other key personnel with adequate experience. The information related to the permanent work force available with the firm must be provided in Form VI and VII. This information will be used to evaluate the strength of the bidding firm in executing the works as defined in the scope of works.

Financial

- ❖ The Firm should have achieved a minimum annual financial turnover of value not less than 50 crores, during the last three years for all type of construction works, as mentioned in the scope of work. The Firms with a greater number of projects which are categorized in Technical Eligibility above would be preferred. The Financial year in which there is no financial turnover, will be counted as zero, for that particular year.
- ❖ Joint Venture/Consortium Proposals are acceptable.
- ❖ The Firm(s) should be registered for GST, Provident Fund and must not be a defaulter of Income Tax and any other tax under extant laws.

D: SUBMISSION OF EXPRESSION OF INTEREST

PART I

1. Covering Letter, Processing Fee
 - a) Covering letter as per format (Form I) provided.
 - b) A non-refundable processing fee of Rs. INR 100000 (One lakh).
 - c) Authorization letter from the Board/Governing Body or as the case may be to sign/submit the EoI proposal on behalf of the respondent Firm.

PART II

2. Details of the Firm
 - a) General background of the respondent Firm (500 words) along with other details of the Firm as per the format (Form II) provided in the EoI. Enclose the mandatory supporting documents.
 - b) Financial details of the Firm as per Form III. Enclose the mandatory supporting documents (registration certificate, memorandum/articles of association, IT return etc.).

PART III

3. Experience of handling similar Projects
 - a) Respondents must provide details (client firm, nature/scope of the Project, Project value) as per format provided (Form IV). Enclose the mandatory supporting documents.
 - b) Total Income from Projects mentioned in 3a above (Form V)

PART IV

4. Proof of Equipment and full-time Professionals in the Firm
 - a) A list of key professionals employed by the firm (permanent/ contractual). With CVs, as per the attached form (Form VI)
 - b) CV of key professionals provided in form VI. This is to be given in Form VII.
 - c) List of Plant & Machinery (Equipment) in Form VIII
 - d) Above is to be certified by the statutory Auditor/Company Secretary of the Firm with number of full time professionals in the required fields.

E: DOCUMENTS REQUIRED TO SUPPORT TECHNICAL AND FINANCIAL ELIGIBILITY

1. Auditor certified turnover (original) statement on annual income from similar projects as per scope of work, for the last 5 years, along with auditor certified Balance Sheet and Financial Statements.
2. Certified true copy of Memorandum of Association of the Firm (if applicable).
3. Details of "Similar Work Experience" – Projects completed along with certified true copies of proof of project completion such as satisfactory completion certificates from client, awards, etc.
4. Details of "Similar Ongoing Projects" along with certified true copies of proof of project such as work orders and agreements
5. Copy of PAN card and IT returns for last the 5 financial years (up to 2018-19).
6. Copy of Service Tax registration/GST Registration and return for the last five financial years.
7. Copy of Employee Provident Fund (EPF) registration.
8. Certified copy of document showing legal status of organisation along with Power of Attorney of Authorised Signatory (if applicable) and authorization for signing and submission of the proposal.
9. Undertaking against fraudulent practices/black-listing.
10. Summary of Key Staff
11. CV of Key Personnel
12. Affidavit stating that the firm has not been barred/suspended from quoting in last 5 years in any Government Department / Autonomous Body/ Public Sector Undertaking under Central or any State Government.

F: GENERAL INFORMATION & INSTRUCTIONS TO FIRMS

1. Due to the nature, complexity and comprehensiveness of the assignment, it requires adequate skill and experience of the Firm in dealing with the nature of assignment, as defined in scope of work.
2. The Firms are expected to be well versed and have adequate knowledge and experience in the sector. The Firms are required to deploy all necessary human resources with specified relevant qualifications and experience for providing operationalization support.
3. The Firms are suggested to meticulously go through the context, objectives, scope and deliverables, and understand the functional requirements while preparing their responses to this EoI.
4. We are strictly looking for Firm(s), working in the field of reconstruction of damaged hill slopes, construction of roads in hill areas, which encompass works like construction of check Dams, construction of water channeling works, river bank protection works, gabion wall construction works, rock-nailing, rock-bolting and other similar hill-slope stabilization related works, as described in scope of work.
5. General Construction Firms, who have no experience of dealing with such projects, as defined in the scope of work, need not apply. Proposals from such firms, not working in this sector, will be summarily rejected and the submission fee shall be forfeited.
6. In the present context, a Firm would mean a Pvt. Ltd. Company or a Ltd. Company
7. EoI Proposal should be in five (5) copies, one original and four (4) photocopies marked as copies.
8. Proposals should be page numbered with different parts/sections clearly separated, so that it facilitates the evaluation process. Evaluation committee should be able to access the required information with ease and clarity. Quality of proposal will be given separate weightage.
9. Each numbered page should be duly-signed by the authorized signatory.
10. *For evaluating Firm's experience in handling similar projects as per Form IV, supporting documents (work order /completion certificates) are mandatory. No credit will be given if supporting documents are not provided. Supporting documents should be attached and numbered separately after each Form.*
11. The details provided in Form IV (a& b) should match with the financial details provided in Form V a & b.
12. The organization (Firm) shall bear all costs associated with the preparation and submission of its proposal and UFRMP will in no case be responsible or liable for these costs, whether the proposal is finally accepted or not.
13. *EoI is to be submitted in a sealed envelope. The documents are to be arranged systematically into Part I (Covering letter and Processing Fee); Part II (Details of the Firm); Part III (Experience of handling similar Projects); Part IV (Proof of full-time professionals in the firm), including documents in support of eligibility, where ever required, and as per instructions and formats provided for the purpose.*

14. All envelopes must show the name of the participating firm, address and should be super-scribed as ""EOI FOR SHORTLISTING OF FIRMS FOR CONSTRUCTION OF LANDSLIDED HILL SLOPES UNDER UTTARAKHAND FOREST RESOURCE MANAGEMENT PROJECT IN THE STATE OF UTTARAKHAND
15. Complete offers are to be addressed to CHIEF PROJECT DIRECTOR, UTTARAKHAND FOREST RESOURCE MANAGEMENT PROJECT, 24, IT PARK, SAHASRADHARA ROAD DEHRADUN PIN: 248001.
16. Offers duly filled and accompanying all supporting documents, should be submitted on or before November 2, 2018 by 4 PM, after which no proposals would be accepted.
17. However, if the last date of receipt of the Bid specified happens to be declared as closed holiday for any reason, the Bids will be received on the next working day up to the same time.
18. The Eols will be opened at Dehradun on the designated date and time. Participating firms may depute one representative to remain present at the time of opening.
19. Incomplete, illegible and unsealed Eol/ Proposals will be summarily rejected. Telegraphic Eols will not be accepted and no correspondence will be made in this regard.
20. The agency inviting the Eol takes no responsibility for the delay / loss or non- receipt of EOI Application or any submission or letter sent by post.
21. All offers should be made in English. Conditional offers, and offers qualified by such vague and indefinite expression such as "Subject to immediate acceptance", "Subject to prior sale" etc. will not be considered.
22. Chief Project Director, Uttarakhand Forest Resource Management Project reserves the right to accept or reject any proposal/all the proposals, without assigning any reason thereof.
23. In case of any dispute, Dehradun Court will be the jurisdictional Court.

G: PRESCRIBED RESPONSE FORMATS: PART I

Form I:**Covering Letter (On Firm Letter head only)**

Date:

To

Chief Project Director
 Uttarakhand Forest Resources Management Project
 24 IT Park, Sahasradhara Road
 Dehradun, Uttarakhand

Subject: Eol proposal for empanelment of Firms under UFRMP, Sediment Disaster Mitigation Component

Reference: Your Eol dated:

Dear Sir,

Having examined the Expression of Interest (EOI) notice, the receipt of which is hereby duly acknowledged, I/we the undersigned, intend to submit our proposal in response to the Eol for shortlisting of our firm, for providing services related to reconstruction of damaged hill slopes/hill stabilization under Sediment Disaster Mitigation Component of UFRMP. We attach the response as per requirements under this Eol, which constitutes our proposal.

Contact Information

FIELD	INFORMATION
Name	
Title	
Name of the firm	
Address of the firm	
Web-site	
Phone	
Mobile	
Fax	

2. We confirm that the information contained in this response or any part thereof, including its exhibits and other supporting documents & instruments delivered or to be delivered to UFRMP is true, accurate, verifiable and complete. This response includes all the information necessary to ensure that the statements therein do not in whole or in part mislead UFRMP in its shortlisting process.

3. We fully understand and agree to comply that on verification, if any of the information provided here is found to be misleading this shortlisting process, we are liable to be dismissed from the selection process or termination of the contract during the Project, if awarded to do so, for providing the said Services to the Project. We agree to unconditional acceptance of all the terms & conditions set out in the Eol document.

4. It is hereby confirmed that I/We are entitled to act on behalf of our firm and are authorized to sign this document as well as such other documents, which may be required in this connection.

Dated this day of Month, 2017

(Signature) in the capacity of

Name & address of the firm with seal stamp of the Firm

Witness signature

Witness name

H: PART II: GENERAL DETAILS OF FIRM:**FORM II****A) GENERAL BACKGROUND OF THE FIRM (500 WORDS)**

Sl. No.	Description	Details
1.	Name of Firm:	
2.	Address:	
3.	Telephone No.:	
4.	E-mail:	
5.	Name of Contact Person:	
6.	Mobile No. of Contact Person:	
7.	Nature of legal status of the firm	
8.	Date of Incorporation of the firm	
9.	E-mail ID of Contact Person:	
10.	List of Documents enclosed showing Legal Status of Organisation	
11.	Power of Attorney of Authorised Signatory (if applicable)	
12.	Council of Architecture Registration: NAME: REGISTRATION NO. Please enclose a true copy of the registration certificate	
13.	GST Registration Details with (Enclose a true copy of the registration document)	
14.	PAN Number (Enclose a true copy of the PAN Card)	
15.	Details of Registration Fee & Security Deposit Paid Amount: DDNo. Details of Bank	

Signature:

Name of the Authorised Signatory:

Name of the Applicant:

Designation:

Address:

Place:

Date:

Seal of the Applicant:

FORM III**B) FINANCIAL DETAILS OF THE FIRM**

Name of the Firm:

Details to be furnished duly supported by figures in balance sheet / profit & loss account for the last five years duly certified by the Chartered Accountants, as submitted by the applicant to the Income Tax Department (copies to be attached)

Figures in Rupees in lakhs

S.No.	Particulars	Financial Years				
		2013- 2014	2014-15	2015-16	2016-17	2017-18
i)	Gross Receipts					
ii)	Profit Before Tax					
iii)	Gross Receipts from similar Projects					

Mandatory Supporting Documents

- ❖ Auditor Certified financial statements for the financial years ending March 2014, 2015, 2016, 2017 and 2018 including IT Returns.
- ❖ Service Tax/GST return for the financial years for the above years
- ❖ Certification by the firm auditors supporting the income break-up for similar projects.

Note: without above-mentioned supporting documents, the response would be treated as incomplete, and no weightage would be given.

Signature:

Name of the Authorised Signatory:

Name of the Applicant:

Designation:

Address:

Place:

Date:

Seal of the Applicant:

PART III: DETAILS OF SIMILAR PROJECTS COMPLETED IN LAST 10 YEARS

FORM IV: FOR LAST 10 YEARS

(To be filled for each eligible project)

1.	Name of Project	
2.	Location of Project	
3.	Site Area (Hectares)	
4.	Total Project Cost	
5.	Total Cost of the Services provided by the Firm (Total Receipts)	
6.	Start Date	
7.	Date of Completion	
8.	Name of Client	
9.	Contact Details of the Client including, address, telephone number & e-mail ID	
10.	Brief Description of the Project	
11.	Proof of Completion of Project to be Enclosed	
Mandatory Supporting Documents		
❖ Work-order and completion certificates, duly indicating the salient points like project cost, period, scope of the services.		
<i>Note: Without above-mentioned supporting documents, the response would not be treated as incomplete. Project details should be furnished year-wise so that the details given in Form III match with the financial details provided in Form IV, and Form V)</i>		

Form VA: Total Gross Receipts from Projects as detailed in Form IV above

Total number of Projects in Last 10 Years	Total Receipts from Projects in INR in Last 10 years

Form VA: Total Gross Receipts from Projects as detailed in Form IV above

Total number of Projects in Last 5 years	Total Receipts from Projects in INR in Last 5 years

Signature:

Name of the Authorised Signatory:

Name of the Applicant:

Designation:

Address:

Place:

Date:

Seal of the Applicant:

J: PART IV: SUMMARY OF KEY STAFF

FORM VI

Sl. No.	Name of the Staff	Educational Qualification	Position in the Organization	Length of Association with the Organization	Area of Expertise	Relevant Experience	Educational Qualification
1.							
2.							
3.							
4.							
5.							
6.							

Signature:

Name of the Authorised Signatory:

Name of the Applicant:

Designation:

Address:

Place:

Date:

Seal of the Applicant:

K: PART IV: CV OF KEY STAFF

FORM VII

1. Position:
1. Name of Firm:
2. Name of Personnel:
3. Date of Birth:
4. Nationality:
5. Professional Qualification:
6. Membership of Professional Associations:
7. Other Trainings:
8. Countries of Work Experience:
9. Employment Record (Starting with Current Employment):
10. Work undertaken that best illustrates the capability to handle the Project under scope of work (Please summarize in not more than 1 page)
11. Awards and Recognition

Certification

I, the undersigned, certify that to the best of my knowledge and belief, these bio-data correctly describe the qualifications and experience of my staff/or collaborators and myself.

Date:

Signature:

Name of the Authorised Signatory:

Name of the Applicant:

Designation:

Address:

Place:

Date:

Seal of the Applicant:

PROOF OF PLANT & MACHINERY

FORM VIII

LIST OF PLANT AND EQUIPMENT

S.No.	Name of Equipment	Quantity	Name of Manufacturer	Year of Manufacture	Number of Equipment	Details of furnished documentary proof
1.	Excavators (Various sizes)					
2.	Concrete batching plant/Mixer					
3.	Concrete pump					
4.	(a) Concrete transit mixer (b) Other equipment for transportation of concrete mix.					
5.	Vibrators (Various type)					
6.	Air Compressors					
7.	Jack Hammer					
8.	Tipper/ Dumper Truck					
	Rock Drilling Machine					
9.	Truck Crane					
	Road Roller					
	Grader					
	Road Construction Paver Machine					
10.	Dewatering pump					
11.	Diesel Generators					
12.	Water Tanker					
13.	Welding machine set					
14.	Hand drilling machine					
15.	Surveying Equipment					
(a)	Total station					
(b)	Auto level					
(c)	Measuring tape (100 m & 30 m)					
16.	Site lab Equipment					
(a)	Cube testing machine					
(b)	Slump cone					
(c)	Cube Moulds					
(d)	Sieve analysis test setup					
(e)	Silt content test setup					
(f)	Oven					
(g)	California Bearing Ratio test set					
(h)	Vicat's apparatus					

EVALUATION OF EOI: SCORING CRITERIA

Sl. No	Description	Max. Marks
1	Past experience of the Firm in execution of similar projects in the Sector defined under scope of work (projects in last 10 years)	50
3	Strength of Organization to cater to the objectives as defined under scope of work, along with CVs of Key resource persons for Project development/ implementation/monitoring	40
4	Quality of proposal	10
	Total Marks	100

Note: Minimum qualifying Technical Score required: 70